

Appl. No.: 09/833,607  
Amdt. Dated 12/05/2006  
Reply to Office Action of 09/05/2006

## **REMARKS**

This response is submitted in reply to the outstanding Office Action dated September 5, 2006. Claims 10-33 currently stand rejected. Applicants respectfully traverse.

In light of the remarks presented below, Applicants respectfully request reconsideration and allowance of all now-pending claims of the present application.

### **Claim Rejections - 35 USC §101**

Claims 31-33 currently stand rejected under 35 U.S.C. §101 as being directed to non-statutory subject matter. Applicants respectfully traverse.

Initially Applicants respectfully note that the rejections of claims 31-33 were traversed in Applicants prior response filed on June 16, 2006. In response to Applicants traverse, the Office Action states in the Response to Arguments section that the “computer readable medium must be a physical structure, not a signal, which permits the functionality disclosed in the claims to be realized with a computer.”

Applicants respectfully point out that, as indicated in the document “EXAMINATION GUIDELINES FOR COMPUTER RELATED INVENTIONS” (hereinafter “Examination Guidelines”), which Applicants have referenced in drafting claims 31-33, the claims are statutory as currently written. The Examination Guidelines include a similarly structured claim, namely claim 13 which appears on page 37 of the “Claims” section of the Examination Guidelines. At page 4 of the “Claim Analysis” section of the Examination Guidelines, it is stated that “Reviewed as a whole, and given its broadest reasonable interpretation, the claim is limited to a specific article of manufacture.” Applicants submit that the electromagnetic signal is embodied on a computer-readable medium (i.e., the carrier wave), as indicated in the Examination Guidelines. Additionally, each of the source code elements recites specific source code segments for performing corresponding functions. Thus, Applicants respectfully submit that, as indicated in the Examination Guidelines, claims 31-33 are directed to statutory subject matter. Applicants have attached herewith a copy of the Examination Guidelines for the convenience of the Examiner.

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Accordingly, the rejections of claims 31-33 under 35 U.S.C. §101 are overcome.

**Claim Rejections - 35 USC §103**

Claims 10-33 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Schwartz et al. (U.S. Patent No. 6,209,009, hereinafter, “Schwartz”). Applicants respectfully traverse.

Applicants initially note that claims 10-33 were previously rejected as being unpatentable over Schwartz in view of Edel et al. (“NEDIT 5.0”, hereinafter “Edel”). In this regard, the previous Office Action cited Schwartz as disclosing all of the elements of independent claims 10, 14, 18, 28 and 31, except providing continuous and wrapped length items in a menu structure for customizing a displayed element. As such, the previous Office Action cited Edel as curing the admitted deficiency of Schwartz. Applicants apparently were effective in showing that Edel indeed failed to cure the deficiency of Schwartz in this regard. Accordingly, the current Office Action now alleges that providing continuous and wrapped length items in a menu structure for customizing a displayed element would be obvious. Although it is troublesome as a preliminary matter that a feature in connection with which three separate references were cited in an effort to support a rejection over five prior substantive Office Actions is now merely dismissed as being obvious, Applicants submit that in any case the current rejection is defective for other reasons.

In this regard, Applicants note that the Office Action alleges that Schwartz discloses a wrapped length display of text and a continuous length display of text and that, although Schwartz fails to disclose providing display menu options for use to choose a corresponding option, providing these features in a menu as a menu option would have been obvious. Applicants respectfully note that a mere allegation that a feature of the claimed invention would have been obvious is not sufficient to establish *prima facie* obviousness as required under the patent laws.

Although the requirements for a *prima facie* case of obviousness are well known to the Examiner, they are stated here for convenience and for completeness of the record for appeal. First, there must be some suggestion or motivation to modify the reference or to combine the reference teaching. Second, there must be a reasonable expectation of success. Third, the prior

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art reference must teach or suggest all the claim limitations. However, in the present rejections, the Office Action has at least failed to provide any suggestion or motivation to modify Schwartz as required and thus, the current rejections are improper in view of MPEP 706.02(j) citing the patent laws. Thus, a *prima facie* case of obviousness has not been established as required in accordance with MPEP 706.02(j) citing the patent laws. Therefore, the rejections of independent claims 10, 14, 18, 28 and 31 are traversed.

Furthermore, even if an allegation were made as to a suggestion to modify Schwartz to achieve the claimed invention, Applicants also submit that there is in fact no motivation for such a modification. In this regard, even if one assumes for the sake of argument that the allegation that Schwartz discloses a wrapped length display of text and a continuous length display of text is correct, Schwartz still is devoid of any suggestion that a modification could be made to place such options in a menu structure.

Moreover, if one assumes for the sake of argument that some motivation existed to modify the disclosure of Schwartz for providing display menu options for the user to choose a corresponding option, Applicants also respectfully note that there is no teaching or suggestion in Schwartz to allow a user to toggle between the continuous length item and the wrapped length item as recited in independent claims 10, 14, 28 and 31. In fact, the Office Action also fails to even allege any teaching or suggestion of such feature, thereby further illustrating the failure of the Office Action to establish *prima facie* obviousness since the prior art reference must teach or suggest all the claim limitations.

Applicants respectfully submit that the Office Action has over-generalized the recited features of the claimed invention with regard to several features and such over-generalization has led to the present failure to establish *prima facie* obviousness. Such over-generalization also places an undue burden on the Applicants in prosecuting claims which are not apparently examined accurately based on the recitations contained therein. Applicants respectfully note that MPEP 707.05 states that "During the examination of an application or reexamination of a patent, the examiner should cite appropriate prior art which is nearest to the subject matter defined in the claims. When such prior art is cited, its pertinence should be explained." In this regard, Applicants respectfully request that if future rejections are to be issued, appropriate prior

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art should be cited for each recitation of the claimed invention with a corresponding explanation of the pertinence of the passages purported to correspond to each claim element. Applicants respectfully submit that such a process would likely hasten resolution of any differences between Applicant and the Examiner with regard to interpretation of the claim elements and, in any case, would narrow issues for appeal.

Since the Office Action fails to establish *prima facie* obviousness with respect to independent claims 10, 14, 18, 28 and 31, the current rejections are traversed. Furthermore, since the independent claims 10, 14, 18, 28 and 31 are not obvious in view of Schwartz, independent claims 10, 14, 18, 28 and 31 are patentable over Schwartz.

Claims 11-13, 15-17, 19-27, 29, 30, 32 and 33 depend either directly or indirectly from a respective one of independent claims 10, 14, 18, 28 and 31, and as such, include all the recitations of their respective independent claims. The dependent claims 11-13, 15-17, 19-27, 29, 30, 32 and 33 are therefore patentably distinct from Schwartz, for at least the same reasons as given above for independent claims 10, 14, 18, 28 and 31.

For at least the reasons stated above, Applicants respectfully submit that the rejections of claims 10-33 are overcome.

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### CONCLUSION

In view of the remarks submitted above, it is respectfully submitted that the present claims are in condition for immediate allowance. It is therefore respectfully requested that a Notice of Allowance be issued. The Examiner is encouraged to contact Applicants' undersigned attorney to resolve any remaining issues in order to expedite examination of the present invention.

It is not believed that extensions of time or fees for net addition of claims are required, beyond those that may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 CFR § 1.136(a), and any fee required therefore (including fees for net addition of claims) is hereby authorized to be charged to Deposit Account No. 16-0605.

Respectfully submitted,



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**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Disclosure for Claims 1, 3-6 and 8-13**

The invention relates to a data compression and encryption system for monitoring and controlling an automated manufacturing process. The system translates the outputs of various sensors from an automated plant's manufacturing process into digital data signals. The system then processes the digital data signals into a compressed signal of various length codewords, encrypts the compressed signal, and transmits the compressed and encrypted signal to a remote supervisory location. At the remote supervisory location, the signal is decrypted and decompressed. The remote supervisory location then compares the decrypted and decompressed digital data signals to the preset ranges for the respective operating parameters of the automated plant's manufacturing process, generates a digital correction signal on the basis of the comparison, compresses and encrypts the correction signal, transmits the correction signal back to the plant location, and applies the correction signal to the disclosed process controllers, such as valves and motors, to maintain the automated plant's operation within its design parameters.

The automated plant's manufacturing process is controlled with a general purpose computer system. In the plant's general purpose computer system, various memory sections are included to store the plant's operating parameters and the sensor's outputs. The plant's various sensors and sensing systems are disclosed.

The remote supervisory location's process is implemented on a general purpose computer system. The remote supervisory location's general purpose computer system must have the identical compression and encryption capabilities of the automated plant's general purpose computer system.

The general purpose computer systems of the automated manufacturing plant and the remote supervisory location are programmed by a data signal transmitted from a remote main office location. The data signal includes a carrier wave and the source code segments for both the compression and encryption computer programs.

In the preferred embodiment for data compression, the general purpose computer system at each site is programmed with a computer program to compress/decompress a digital signal into variable length codewords in accordance with the Huffman code algorithm. The general purpose computer system has both an encoder and a decoder on which are stored identical Huffman code books. The use of compressed signals allows for reduced transmission time between the sites.

In the preferred embodiment for data encryption, the general purpose computer system at each site is programmed with a separate computer program to encrypt/decrypt a digital signal in accordance with the Data Encryption Standard (DES) algorithm. The DES algorithm uses an encryption key stored in a read-only memory to produce a digital signal whose content is protected and secured for transmission. In another embodiment for data encryption, the general purpose computer system has an application specific integrated circuit (ASIC). The various components of the ASIC are incorporated by reference from U.S. Patent No. \*,\*\*\*,\*\*\*.

The disclosure contains both self-documenting source code for the preferred embodiments of the computer programs and high-level written descriptions of the computer programs with flow charts. There is correspondence between the written descriptions, the flow charts, and the specific software. The disclosure states that alternate computer programs based on the high-level written descriptions and flow charts are within the skill of a routine in the art.

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

### **Disclosure for Claims 2 and 7**

The invention relates to a data compression and encryption system for monitoring and controlling an automated manufacturing process. The system translates the outputs of various sensors from an automated plant's manufacturing process into digital data signals. The system then processes the digital data signalthrough a series of equations for calculating codewords, then compresses the calculated codewords subsequently encrypts the compressed signal, and transmits the compressed and encrypted signal to a remote supervisory location. At the remote supervisory location, the signal is decrypted and decompressed. The remote supervisory location then compares the decrypted and decompressed digital data signals to the preset ranges for the respective operating parameters of the automated plant's manufacturing process, generates a digital correction signal on the basis of the comparison, processes, compresses and encrypts the correction signal, transmits the correction signal back to the plant location, and applies the correction signal to the disclosed process controllers, such as valves and motors, to maintain the automated plant's operation within its design parameters.

The automated plant's manufacturing process is controlled with a general purpose computer system. In the plant's general purpose computer system, various memory sections are included to store the plant's operating parameters and the sensor's outputs. The plant's various sensors and sensing systems are disclosed.

The remote supervisory location's process is implemented on a general purpose computer system. The remote supervisory location's general purpose computer system must have the identical compression and encryption capabilities of the automated plant's general purpose computer system.

The genereal purpose computer systems of the automated manufacturing plant and the remote supervisory location are programmed by a data signal transmitted from a remote main office location. The data signal includes a carrier wave and the source code segments for both the compression and encryption computer programs.

In the preferred embodiment for data compression, the general purpose computer system at each site is programmed with a computer program to process a digital signal into codewords herein the codewords are then compressed/decompressed in accordance with Bluffman code (for this example a hypothetical compression algorithm well known to those of ordinary skill in the art) The general purpose computer system has both an encoder and a decoder on which are stored identicalBluffman code books. The use of compressed signals allows for reduced transmission time between the sites.

In the preferred embodiment for data encryption, the general purpose computer system at each site is programmed with a separate computer program to encrypt/decrypt a digital signal in accordance with the Data Encryption Standard (DES) algorithm. The DES algorithm uses an encryption key stored in a read-only memory to produce a digital signal whose content is protected and secured for transmission. In another embodiment for data encryption, the general purpose computer system has an application specific integrated circuit (ASIC). The various hardware components of the ASIC are incorporated by reference from U.S. Patent No. \*, \*\*\*, \*\*\*.

The disclosure contains both self-documenting source code for the preferred embodiments of the computer programs and high-level written descriptions of the computer programs with flow charts. There is correspondence between the written descriptions, the flow charts, and the specific software. The disclosure states that alternate computer programs based on the high-level written descriptions and flow charts are within the skill of a routineer in the art.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 1**

A computer system for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for receiving a data signal;
- b. means for processing the data signal into codewords; and
- c. means for outputting the processed data signal.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 1**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	NO	GoTo: Q.12a	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	Note 4
	Q.12b. Does process have pre-computer process activity?	NO	GoTo: Q.13a	Note 5
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?	NO	GoTo: Q.13b	
	Q.13b. Does process solve math problem w/o limitation to a practical application?	NO	GoTo: END	Note 6

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

### **Example: AUTOMATED MANUFACTURING PLANT**

#### **Table Notes for Claim 1**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention encompasses any and every machine embodiment of the underlying process. Means a. recites means for receiving. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for performing this function. Means b. recites means for processing. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts. Means c. recites means for outputting. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for performing this function.

Note 4: Means c. merely conveys the direct result of means a. and b. *See Guidelines, Section IV.B.2(d)(iii).*

Note 5: Means a. merely provides the data signal for use in the mathematical operation of means b. It does not measure physical objects or activities. *See Guidelines, Section IV.B.2(d)(ii).*

Note 6: Means b. corresponds to the compression of a digital data signal into various length codewords. This correspondence is determined from the express recitation in the disclosure that "the system then *processes* the digital data signals into a compressed signal of various length codewords." A compressed data signal requires less memory or takes less time to transmit. Thus, the claimed invention is limited to a practical application.

NOTE: When an explicit definition is provided by the applicant for a term, that definition will control interpretation of the term as it is used in the claim. When the claim has been determined to define statutory subject matter based upon a specific interpretation of the claim language, it would be appropriate and helpful for the Examiner to explain this position in the next Office communication. This analysis should be compared to the analysis for Claim 2 at Note 6 to demonstrate the importance of interpreting claim language in light of the disclosure.

THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 2**

A computer system for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for receiving a data signal;
- b. means for processing the data signal into codewords; and
- c. means for outputting the processed data signal.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

Example: **AUTOMATED MANUFACTURING PLANT**

**Table for Claim 2**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	NO	GoTo: Q.12a	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	Note 4
	Q.12b. Does process have pre-computer process activity?	NO	GoTo: Q.13a	Note 5
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?	NO	GoTo: Q.13b	
	Q.13b. Does process solve math problem w/o limitation to a practical application?	YES	GoTo: END	Note 6

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

### **Example: AUTOMATED MANUFACTURING PLANT**

#### **Table Notes for Claim 2**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention encompasses any and every machine embodiment of the underlying process. Means a. recites means for receiving. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for performing this function. Means b. recites means for processing. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts. Means c. recites means for outputting. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for performing this function.

Note 4: Means c. merely conveys the direct result of means a. and b. *See Guidelines, Section IV.B.2(d)(iii).*

Note 5: Means a. merely provides the data signal for use in the mathematical operation of means b. It does not measure physical objects or activities. *See Guidelines, Section IV.B.2(d)(ii).*

Note 6: Means b. corresponds to the calculation of codewords from a series of equations. This correspondence is determined from the express recitation in the disclosure that "the system then processes the digital data signals through a series of equations for calculating codewords." Thus, the claimed invention merely converts one set of numbers into another set of numbers. Also, the preamble language is a statement of intended use that does not limit the claim to the practical application of monitoring and controlling an automated manufacturing plant. *See Guidelines, Section IV.B.2(d)(i).* The claim should be rejected under 35 U.S.C. § 101.  
NOTE: When an explicit definition is provided by the applicant for a term, that definition will control interpretation of the term as it is used in the claim. In this disclosure, "processing" is defined as a means separate and distinct from the means for compression. This analysis should be compared to the analysis for Claim 1 at Note 6. In the disclosure for Claim 1, "processing" is defined to include calculating the codewords and compressing those codewords.

Because the claimed invention is directed solely to a process for solving a mathematical algorithm, in addition to performing the above analysis the Freeman-Walter-Abele test may also be relied upon to verify that the claim defines non-statutory subject matter.

THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 3**

A computer system for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for generating a data signal from output sensors of the automated manufacturing plant;
- b. means for compressing the data signal into variable length codewords in accordance with Huffman code; and
- c. means for encrypting the compressed data signal in accordance with the Data Encryption Standard algorithm.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 3**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	NO	GoTo: Q.12a	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	
	Q.12b. Does process have pre-computer process activity?	YES	GoTo: END	Note 4
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 3**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention encompasses any and every machine embodiment of the underlying process. Means a. recites means for generating. The specification discloses use of a general purpose computer system with various memory sections. It does not disclose specific hardware, specific software, or a combination thereof for this function. Means b. recites means for compression. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts. Means c. recites means for encryption. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with an ASIC in another embodiment, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts.

Note 4: The transformation occurs when the outputs of the plant's sensors are converted to a digital data signal. *See* Guidelines, Section IV.B.2(b).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 4**

A computer system for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for decrypting a compressed and encrypted data signal in accordance with the Data Encryption Standard algorithm;
- b. means for decompressing the decrypted data signal in accordance with Huffman code; and
- c. means for controlling the physical processes of the automated manufacturing plant in accordance with the information conveyed by the decrypted and decompressed data signal.

## EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS

Example: AUTOMATED MANUFACTURING PLANT

Table for Claim 4

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	NO	GoTo: Q.12a	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?	YES	GoTo: END	Note 4
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

### **Example: AUTOMATED MANUFACTURING PLANT**

#### **Table Notes for Claim 4**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention encompasses any and every machine embodiment of the underlying process. Means a. recites means for compression. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts. Means b. recites means for encryption. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with an ASIC in another embodiment, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts. Means c. recites means for controlling. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function.

Note 4: The transformation occurs when the plant's physical processes are modified See Guidelines, Section IV.B.2(b).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 5**

A computer system for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for compressing a data signal into variable length codewords in accordance with Huffman code; and
- b. an application specific integrated circuit for encoding the compressed data signal comprising . . .[recites hardware components of the circuit disclosed in U.S. Patent No. \*,\*\*\*,\*\*\*.].

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 5**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	YES	GoTo: END	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?		GoTo:	
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 5**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention recites specific hardware components of application specific integrated circuit (ASIC). *See* Guidelines, Section IV.B.2(a)(ii).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 6**

A method for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising the steps of:

- a. receiving a data signal;
- b. processing the data signal into codewords; and
- c. outputting the processed data signal.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS****Example: AUTOMATED MANUFACTURING PLANT****Table for Claim 6**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	YES	GoTo: Q.12a	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	Note 3
	Q.12b. Does process have pre-computer process activity?	NO	GoTo: Q.13a	Note 4
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?	NO	GoTo: Q.13b	
	Q.13b. Does process solve math problem w/o limitation to a practical application?	NO	GoTo: END	Note 5

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 6**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Step c. merely conveys the direct result of steps a. and b. *See Guidelines, Section IV.B.2(d)(iii).*

Note 4: Step a. merely provides the data signal for use in the mathematical operation of step b. It does not measure physical objects or activities. *See Guidelines, Section IV.B.2(d)(ii).*

Note 5: Step b. corresponds to the compression of a digital data signal into various length codewords. This correspondence is determined from the express recitation in the disclosure that "the system then processes the digital data signals into a compressed signal of various length codewords." A compressed data signal requires less memory or takes less time to transmit. Thus, the claimed invention is limited to a practical application.

NOTE: When an explicit definition is provided by the applicant for a term, that definition will control interpretation of the term as it is used in the claim. When the claim has been determined to define statutory subject matter based upon a specific interpretation of the claim language, it would be appropriate and helpful for the Examiner to explain this position in the next Office communication. This analysis should be compared to the analysis for Claim 7 at Note 5 to demonstrate the importance of interpreting claim language in light of the disclosure.

THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 7**

A method for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising the steps of:

- a. receiving a data signal;
- b. processing the data signal into codewords; and
- c. outputting the processed data signal.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 7**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	YES	GoTo: Q.12a	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	Note 3
	Q.12b. Does process have pre-computer process activity?	NO	GoTo: Q.13a	Note 4
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?	NO	GoTo: Q.13b	
	Q.13b. Does process solve math problem w/o limitation to a practical application?	YES	GoTo: END	Note 5

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 7**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Step c. merely conveys the direct result of steps a. and b. *See Guidelines, Section IV.B.2(d)(iii).*

Note 4: Step a. merely provides the data signal for use in the mathematical operation of step b. It does not measure physical objects or activities. *See Guidelines, Section IV.B.2(d)(ii).*

Note 5: Step b. corresponds to the calculation of codewords from a series of equations. This correspondence is determined from the express recitation in the disclosure that "the system then processes the digital data signals through a series of equations for calculating codewords." Thus, the claimed invention merely converts one set of numbers into another set of numbers. Also, the preamble language is a statement of intended use that does not limit the claim to the practical application of monitoring and controlling an automated manufacturing plant. *See Guidelines, Section IV.B.2(d)(i).* The claim should be rejected under 3 U.S.C. § 101.

NOTE: When an explicit definition is provided by the applicant for a term, that definition will control interpretation of the term as it is used in the claim. In this disclosure, "processing" is defined as a step separate and distinct from the step of compression. This analysis should be compared to the analysis for Claim 6 at Note 5. In the disclosure for Claim 6, "processing" is defined to include calculating the variable length codewords *and* compressing those codewords.

Because the claimed invention is directed solely to a process for solving a mathematical algorithm, in addition to performing the above analysis the Freeman-Walter-Abele test may also be relied upon to verify that the claim defines non-statutory subject matter.

THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions. Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 8**

A method for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising the steps of:

- a. generating a data signal from output sensors of the automated manufacturing plant;
- b. compressing the data signal into variable length codewords in accordance with Huffman code; and
- c. encrypting the compressed data signal in accordance with the Data Encryption Standard algorithm.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 8**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	YES	GoTo: Q.12a	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?	NO	GoTo: Q.12b	
	Q.12b. Does process have pre-computer process activity?	YES	GoTo: END	Note 3
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 8**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: The transformation occurs when the outputs of the plant's sensors are converted to a digital data signal. *See* Guidelines, Section IV.B.2(b)(i).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 9**

A method for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising the steps of:

- a. decrypting a compressed and encrypted data signal in accordance with the Data Encryption Standard algorithm;
- b. de-compressing the decrypted data signal in accordance with Huffman code; and
- c. controlling the physical processes of the automated manufacturing plant in accordance with the information conveyed by the decrypted and decompressed data signal.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**  
**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 9**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	YES	GoTo: Q.12a	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?	YES	GoTo: END	Note 3
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 9**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: The transformation occurs when the plant's physical processes are modified. See Guidelines, Section IV.B.2(b)(i).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions. Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 10**

A computer system apparatus for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. a first data portion embodying the compressed and encrypted operating parameters of the automated manufacturing plant;
- b. a second data portion embodying the compressed and encrypted physical outputs of the plant;
- c. a third data portion embodying a first encryption key for the encrypted operating parameters embodied on the first data portion; and
- d. a fourth data portion embodying a second encryption key for the encrypted physical outputs embodied on the second data portion.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS****Example: AUTOMATED MANUFACTURING PLANT****Table for Claim 10**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	Note 3
	Q.6c. Is claimed invention non-functional descriptive material?	YES	GoTo: END	Note 4
	Q.6d. Is claimed invention a natural phenomenon?		GoTo:	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?		GoTo:	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?		GoTo:	
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

### **Example: AUTOMATED MANUFACTURING PLANT**

#### **Table Notes for Claim 10**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Elements a. through d. do not define a physical or logical relationship between the claimed data that is designed to support a specific function; *e.g.*, a data structure. *See* Guidelines, Section IV.B.1(a)-(b).

Note 4: Claimed invention is unclear as to whether it claims a machine, an article of manufacture, or an arrangement of data. In particular, it is unclear whether: (1) the preamble defines an arrangement of data, a machine, or an article of manufacture, (2) the body of the claim defines an arrangement of data, a machine, or an article of manufacture, and (3) how the phrase "data portion" in the body of the claim relates to the preamble. The claim should be rejected under 35 U.S.C. § 112, ¶2 for failure to distinctly point out and claim the invention.

Also, the claim should be rejected under 35 U.S.C. § 101. As either a machine, an article of manufacture, or an arrangement of data, the claimed invention recites non-functional descriptive material, *i.e.*, mere data. Non-functional descriptive material does not impart functionality to either the data as claimed or the computer system. The allowance of such a claim would exalt form over substance. *See* Guidelines Section IV.B.1(a)-(b).

THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 11**

A computer program for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. means for compressing a data signal into variable length codewords in accordance with Huffman code; and
- b. means for encrypting the compressed data signal in accordance with the Data Encryption Standard algorithm.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 11**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	YES	GoTo: END	Note 3
	Q.6b. Is claimed invention a data structure <i>per se</i> ?		GoTo:	
	Q.6c. Is claimed invention non-functional descriptive material?		GoTo:	
	Q.6d. Is claimed invention a natural phenomenon?		GoTo:	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?		GoTo:	
BOX 9	Q.9. Is claimed invention a product for performing a process?		GoTo:	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?		GoTo:	
BOX 12	Q.12a. Does process have post-computer process activity?		GoTo:	
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

### **Example: AUTOMATED MANUFACTURING PLANT**

#### **Table Notes for Claim 11**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention is unclear as to whether it claims a computer *programper se* or a computer program embodied on a computer-readable medium. In particular, the preamble phrase "computer program" defines a set of instructions for execution on a computer, *i.e.*, a computer *programper se*. The body of the claim, however, recites means plus function language which defines at least a set of instructions embodied on a computer-readable medium to perform the recited functions. The claim should be rejected under 35U.S.C. § 112, ¶2 for failure to distinctly point out and claim the invention.

The claim should also be rejected under 35U.S.C. § 101. One reasonable interpretation of the claim is that it recites a computer *programper se*. A computer *programper se* does not define any structural and functional interrelationships that permit the computer program's functionality to be realized. *See* Guidelines, Section IV.B.1(a)-(b).

**NOTE:** The Examiner should explain this claim interpretation as the basis for the rejection under 35 U.S.C. § 101. Also, the Examiner should suggest how the ambiguity can be corrected and how the claim can be amended to recite statutory subject matter, *e.g.*, amend the claim to recite a computer-readable medium.

**THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.**

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 12**

A computer program embodied on computer-readable medium for monitoring and controlling an automated manufacturing plant using a telemetered processed data signal comprising:

- a. a compression source code segment comprising . . . [recites self-documenting source code]; and
- b. an encryption source code segment comprising . . . [recites self-documenting source code].

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table for Claim 12**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	YES	GoTo: END	Note 3
BOX 12	Q.12a. Does process have post-computer process activity?		GoTo:	
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 12**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention recites specific software. *See Guidelines, Section IV.B.2(a)(ii).*  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Claim 13**

A computer data signal embodied in a carrier wave comprising:

- a. a compression source code segment comprising . . . [recites self-documenting source code]; and
- b. an encryption source code segment comprising . . . [recites self-documenting source code].

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS****Example: AUTOMATED MANUFACTURING PLANT****Table for Claim 13**

BOX 2	Q.2a. Does disclosed invention have practical application?	YES	GoTo: Q.2b	Note 1
	Q.2b. Is disclosed invention in technological arts?	YES	GoTo: Q.6a	Note 2
BOX 6	Q.6a. Is claimed invention a computer program <i>per se</i> ?	NO	GoTo: Q.6b	Note 3
	Q.6b. Is claimed invention a data structure <i>per se</i> ?	NO	GoTo: Q.6c	
	Q.6c. Is claimed invention non-functional descriptive material?	NO	GoTo: Q.6d	
	Q.6d. Is claimed invention a natural phenomenon?	NO	GoTo: Q.8	Note 4
BOX 8	Q.8. Is claimed invention a series of steps to be performed on a computer?	NO	GoTo: Q.9	
BOX 9	Q.9. Is claimed invention a product for performing a process?	YES	GoTo: Q.10	
BOX 10	Q.10. Is claimed invention a specific machine or manufacture?	YES	GoTo: END	Note 5
BOX 12	Q.12a. Does process have post-computer process activity?		GoTo:	
	Q.12b. Does process have pre-computer process activity?		GoTo:	
BOX 13	Q.13a. Does process manipulate abstract idea w/o limitation to a practical application?		GoTo:	
	Q.13b. Does process solve math problem w/o limitation to a practical application?		GoTo:	

**EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

**Table Notes for Claim 13**

Note 1: Disclosed invention monitors and controls an automated plant's manufacturing process.

Note 2: Disclosed invention uses a general purpose computer system.

Note 3: Claimed invention recites specific software embodied on a computer-readable medium, *e.g.*, specific software embodied in a carrier wave.

Note 4: Most likely, the "data signal" does not occur as a natural phenomenon. The Examiner bears the burden of establishing that a claimed invention is a natural phenomenon. Therefore, absent object evidence to support the position that the "data signal" is a natural phenomenon, such a position would be untenable.

Note 5: Claimed invention recites specific software. *See* Guidelines, Section IV.B.2(a)(ii).  
THE REMAINDER OF THE EXAMINATION MUST BE COMPLETED.

For a more detailed analysis of the claim, see Examination Guidelines for Computer Related Inventions, Example: Automated Manufacturing Plant Claim Analysis appended to these examples.

## **EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS**

**Example: AUTOMATED MANUFACTURING PLANT**

### **Claim Analysis**

Claims 1-13 are pending in the application. Claims 1-13 recite computer-related invention

#### **CLAIM 1:**

Claim 1 is a machine claim. It recites three claim limitations in means plus function language:

- a. Means a. recites means for receiving. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function;
- b. Means b. recites means for processing. Processing is defined as compressing the digital data signal into variable length codewords. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts; and
- c. Means c. recites means for outputting. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is not limited to a specific machine. Thus, the claim is presumed to encompass any and every machine embodiment of the underlying process. Accordingly, the claim has been analyzed on the basis of the underlying process. If applicant believes the claim is limited to a specific machine, please provide specific information on how the claim is so limited, including references to the specification in support of the limitation[s].

The underlying process does not require any pre-computer process activity. Means a. merely provides the data signal for use in the mathematical operation of means b. It does not measure physical objects or activities. The underlying process also does not require any post-computer process activity. Means c. merely conveys the direct result of means a. and b. Thus, as a computer-related invention, the claim must be limited to a practical application.

Means b. recites means for processing. Means b. corresponds to the compression of a digital data signal into variable length codewords. A compressed data signal requires less memory or takes less time to transmit. Thus, the claimed invention is limited to a practical application. Claim 1 is a statutory machine claim.

#### **CLAIM 2:**

Claim 2 is a machine claim. It recites three claim limitations in means plus function language:

- a. Means a. recites means for receiving. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function;
- b. Means b. recites means for processing. Processing is defined as the calculation of codewords from a series of equations. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function; and
- c. Means c. recites means for outputting. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is not limited to a specific machine. Thus, the claim is presumed to encompass any and every machine embodiment of the underlying process. Accordingly, the claim has been analyzed on the basis of the underlying process. If applicant believes the claim is limited to a specific machine, please provide specific information on how the claim is so limited, including references to the specification in support of the limitation[s].

The underlying process does not require any pre-computer process activity. Means a. merely provides the data signal for use in the mathematical operation of means b. It does not measure physical objects or activities. The underlying process also does not require any post-computer process activity. Means c. merely conveys the direct result of means a. and b. Thus, as a computer-related invention, the claim must be limited to a practical application.

Means b. recites means for processing. Means b. corresponds to the calculation of codewords from a series of equations. Thus, means b. recites a mathematical operation. As noted above, means a. and c. do not impose

independent limitations on the claim beyond those required by the mathematical operation of means b. Therefore, the claimed invention merely converts one set of numbers into another set of numbers. Also, the preamble language is a statement of intended use that does not limit the claim to the practical application of monitoring and controlling an automated manufacturing plant. Claim 2 is rejected as a non-statutory machine claim under 35.S.C. § 101. Also, the Freeman-Walter-Abele test has been relied upon in analyzing the claimed invention. Under the test, the claimed invention is rejected as a non-statutory process because it is a process directed solely to solving a mathematical algorithm.

The following amendments to claim 2 would render claim 2 a statutory machine claim

- a limitation to one of the practical applications disclosed in the specification (e.g., monitoring and controlling an automated manufacturing plant or encryption of data signals to protect and secure contents);
- a limitation to a pre-computer process activity; or
- a limitation to a post-computer process activity.

#### CLAIM 3:

Claim 3 is a machine claim. It recites three claim limitations in means plus function language:

- a. Means a. recites means for generating. The specification discloses use of general purpose computer system with various memory sections. It does not disclose specific hardware, specific software, or a combination thereof for this function;
- b. Means b. recites means for compression. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts; and
- c. Means c. recites means for encryption. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with an ASIC in another embodiment, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is not limited to a specific machine. Thus, the claim is presumed to encompass any and every machine embodiment of the underlying process. Accordingly, the claim has been analyzed on the basis of the underlying process. If applicant believes the claim is limited to a specific machine, please provide specific information on how the claim is so limited, including references to the specification in support of the limitation[s].

The underlying process requires pre-computer process activity. Means a. transforms the outputs of the plant's sensors into an electrical signal for use in the computer system. The electrical signal is an intangible representation of the plant's outputs. Claim 3 is a statutory product claim.

#### CLAIM 4:

Claim 4 is a machine claim. It recites three claim limitations in means plus function language:

- a. Means a. recites means for compression. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts;
- b. Means b. recites means for encryption. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with an ASIC in another embodiment, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts; and
- c. Means c. recites means for controlling. The specification discloses use of a general purpose computer system. It does not disclose specific hardware, specific software, or a combination thereof for this function.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is not limited to a specific machine. Thus, the claim is presumed to encompass any and every machine embodiment of the underlying process. Accordingly, the claim has been analyzed on the basis of the underlying process. If applicant believes the claim is limited to a specific machine, please provide specific information on how the claim is so limited, including references to the specification in support of the limitation[s].

The underlying process requires post-computer process activity. Means c. controls the outputs of the automated manufacturing plant on the basis of the information contained in the decrypted and decompressed data signal. Claim 4 is a statutory product claim.

**CLAIM 5:**

Claim 5 is a machine claim. It recites two claim limitations with one claim limitation in means plus function language:

- a. Means a. recites means for compression. The specification discloses specific software in a preferred embodiment. It also discloses use of a general purpose computer system with encoders and decoders, and the creation of alternate computer programs based on the disclosed high-level written descriptions and disclosed flow charts;
- b. Element b. recites an application specific integrated circuit with its various hardware components for encryption.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is limited to a specific machine. Claim 5 is a statutory machine claim.

**CLAIM 6:**

Claim 6 is a process claim. It is directed to the same process as the underlying process analyzed in the machine claim of claim 1. Thus, claim 6 is a statutory process claim for the reasons stated above for claim 1.

**CLAIM 7:**

Claim 7 is a process claim. It is directed to the same process as the underlying process analyzed in the machine claim of claim 2. Thus, claim 7 is rejected as a non-statutory process claim under 35.U.S.C. § 101 for the reasons stated above for claim 2. Also, the Freeman-Walter-Abele test has been relied upon in analyzing the claimed invention. Under the test, the claimed invention is rejected as a non-statutory process claim because it is a process directed solely to solving a mathematical algorithm.

The following amendments to claim 7 would render claim 7 a statutory process claim:

- a limitation to one of the practical applications disclosed in the specification (e.g., monitoring and controlling an automated manufacturing plant or encryption of data signals to protect and secure contents);
- a limitation to a pre-computer process activity; or
- a limitation to a post-computer process activity.

**CLAIM 8:**

Claim 8 is a process claim. It is directed to the same process as the underlying process analyzed in the machine claim of claim 3. Thus, claim 8 is a statutory process claim for the reasons stated above for claim 3.

**CLAIM 9:**

Claim 9 is a process claim. It is directed to the same process as the underlying process analyzed in the machine claim of claim 4. Thus, claim 9 is a statutory process claim for the reasons stated above for claim 4.

**CLAIM 10:**

Claim 10 is unclear as to whether it claims a machine, an article of manufacture, or an arrangement of data. In particular, it is unclear whether: (1) the preamble defines an arrangement of data, a machine, or an article of manufacture, (2) the body of the claim defines an arrangement of data, a machine, or an article of manufacture, and (3) how the phrase "data portion" in the body of the claim relates to the preamble. The claim is rejected under 35 U.S.C. § 112, ¶2 for failure to distinctly point out and claim the invention.

Claim 10 is also rejected under 35U.S.C. § 101. As either a machine, an article of manufacture, or an arrangement of data, the claimed invention recites non-functional descriptive material, e., mere data. For example, embodied on the "first data portion" of the "computer system apparatus" is the plant's operating parameters. Non-functional descriptive material does not impart functionality to either the data as claimed or the computer system. The allowance of such a claim would exalt form over substance.

Claim 10 is further rejected under 35 U.S.C. § 103 as obvious. The embodiment of mere data on a "computer system apparatus" would have been obvious to a person of ordinary skill in the art at the time of invention.

**CLAIM 11:**

Claim 11 is unclear as to whether it claims a computer program *per se* or a computer program embodied on a computer-readable medium. In particular, the preamble phrase "computer program" defines a set of instructions for execution on a computer, *i.e.*, a computer program *per se*. The body of the claim, however, recites means plus function language which defines at least a set of instructions embodied on a computer-readable medium to perform the recited functions. The claim is rejected under 35 U.S.C. § 112, ¶2 for failure to distinctly point out and claim the invention.

Claim 11 is also rejected under 35 U.S.C. § 101. It is reasonable to presume that applicant seeks to claim a computer program *per se*. A computer program *per se* cannot define any structural and functional interrelationships that permit the computer program's functionality to be realized.

The following amendment to claim 11 would render claim 11 a statutory article of manufacture claim:

- embodying the computer program on a computer-readable medium.

Claim 11 could also be amended to reiterate a statutory process.

**CLAIM 12:**

Claim 12 is an article of manufacture claim. It recites a computer program with two claim limitations:

- a. Element a. recites a specific source code segment for compression; and
- b. Element b. recites a specific source code segment for encryption.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is limited to a specific article of manufacture. Also, the computer program is embodied on a computer-readable medium. Thus, claim 12 is a statutory article of manufacture claim.

**CLAIM 13:**

Claim 13 is an article of manufacture claim. It recites a computer program with two claim limitations:

- a. Element a. recites a specific source code segment for compression; and
- b. Element b. recites a specific source code segment for encryption.

Reviewed as a whole, and given its broadest reasonable interpretation, the claim is limited to a specific article of manufacture. Also, the computer program is embodied on a computer-readable medium--the carrier wave. Thus, claim 13 is a statutory article of manufacture claim.